



FEDERAL DEPOSIT INSURANCE CORPORATION

Agency Information Collection Activities: Submission for OMB Review; Comment Request (OMB No. 3064-0153)

AGENCY: Federal Deposit Insurance Corporation (FDIC).

ACTION: Notice and request for comment.

SUMMARY: The FDIC, as part of its ongoing obligations under the Paperwork Reduction Act of 1995, invites the general public and other Federal agencies to take this opportunity to comment on the renewal of the existing information collection described below (OMB No. 3064-0153). On July 28, 2020, the FDIC requested comment for 60 days on a proposal to renew the information collections described below. No comments were received. The FDIC hereby gives notice of its plan to submit to the Office of Management and Budget (OMB) a request to approve the renewal of this collection, and again invites comment on its renewal.

DATES: Comments will be accepted until [INSERT DATE 30 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER].

ADDRESSES: Interested parties are invited to submit written comments to the FDIC by any of the following methods:

- *Agency Website:* <https://www.FDIC.gov/regulations/laws/federal>.
- *Email:* comments@fdic.gov. Include the name and number of the collection in the subject line of the message.
- *Mail:* Jennifer Jones (202-898-6768), Counsel, MB-3078, Federal Deposit Insurance Corporation, 550 17th Street NW, Washington, DC 20429.

- *Hand Delivery:* Comments may be hand-delivered to the guard station at the rear of the 17th Street building (located on F Street NW), on business days between 7:00 a.m. and 5:00 p.m.

All comments should refer to the relevant OMB control number. A copy of the comments may also be submitted to the OMB desk officer for the FDIC: Office of Information and Regulatory Affairs, Office of Management and Budget, New Executive Office Building, Washington, DC 20503.

FOR FURTHER INFORMATION CONTACT: Jennifer Jones, Counsel, 202-898-6768, jennjones@fdic.gov, MB-3105, Federal Deposit Insurance Corporation, 550 17th Street NW, Washington, DC 20429.

SUPPLEMENTARY INFORMATION:

On July 28, 2020, the FDIC requested comment for 60 days on a proposal to renew the information collections described below. No comments were received. The FDIC hereby gives notice of its plan to submit to the Office of Management and Budget (OMB) a request to approve the renewal of this collection, and again invites comment on its renewal.

Proposal to renew the following currently approved collection of information:

1. Title: Regulatory Capital Rules.

OMB Number: 3064-0153.

Affected Public: Insured state nonmember banks and state savings associations.

Burden Estimate:

ESTIMATED HOURLY BURDEN					
BASEL III Advanced Approaches: RECORDKEEPING, DISCLOSURE, and REPORTING	Type of Burden	Estimated Number of Respondents	Estimated Time per Response	Frequency of Response	Total Annual Estimated Burden
Implementation plan -- Section __.121(b): Ongoing	Recordkeeping	1	330	On Occasion	330

Documentation of advanced systems -- Section .122(j): Ongoing	Recordkeeping	1	19	On Occasion	19
Systems maintenance -- Sections .122(a), .123(a), .124(a): Ongoing	Recordkeeping	1	27.90	On Occasion	28
Supervisory approvals -- Sections .122(d)-(h), .132(b)(3), .132(d)(1), .132(d)(1)(iii): Ongoing	Recordkeeping	1	16.82	On Occasion	17
Control, oversight and verification of systems -- Sections .122 to .124: Ongoing	Recordkeeping	1	11.05	On Occasion	11
(CCR) -- Section .132(b)(2)(iii)(A): One-time	Recordkeeping	1	80	On Occasion	80
(CCR) -- Section .132(b)(2)(iii)(A): Ongoing	Recordkeeping	1	16	On Occasion	16
(CCR) -- Section .132(d)(2)(iv): One-time	Recordkeeping	1	80	On Occasion	80
(CCR) -- Section .132(d)(2)(iv): Ongoing	Recordkeeping	1	40	On Occasion	40
(CCR) -- Section .132(d)(3)(vi): One-time	Recordkeeping	1	80	On Occasion	80
(CCR) -- Section .132(d)(3)(viii): One-time	Recordkeeping	1	80	On Occasion	80
(CCR) -- Section .132(d)(3)(viii) Ongoing	Recordkeeping	1	10	Quarterly	40
(CCR) -- Section .132(d)(3)(ix): One-time	Recordkeeping	1	40	On Occasion	40
(CCR) -- Section .132(d)(3)(ix): Ongoing	Recordkeeping	1	40	On Occasion	40
(CCR) -- Section .132(d)(3)(x): One-time	Recordkeeping	1	20	On Occasion	20
(CCR) -- Section .132(d)(3)(xi): One-time	Recordkeeping	1	40	On Occasion	40
(CCR) -- Section .132(d)(3)(xi): Ongoing	Recordkeeping	1	40	On Occasion	40
(OC) -- Section .141(b)(3), .141(c)(1), .141(c)(2)(i)-(ii), .153:: One-time	Recordkeeping	1	40	On Occasion	40
(OC) -- Section .141(c)(2)(i)-(ii): Ongoing	Recordkeeping	1	10	Quarterly	40
Sections .142 and .171: Ongoing	Disclosure	1	5.78	On Occasion	6
(CCB and CCYB) -- Section .173, Table 4 (Securitization) -- Section .173, Table 9 (IRR) -- Section .173, Table 12: Ongoing	Disclosure	1	25	Quarterly	100
(CCB and CCYB) -- Section .173, Table 4 (Securitization) -- Section .173, Table 9 (IRR) -- Section .173, Table 12: One-time	Disclosure	1	200	On Occasion	200
(Capital Structure) -- Section .173, Table 2: Ongoing	Disclosure	1	2	Quarterly	8
(Capital Structure) -- Section .173, Table 2: One-time	Disclosure	1	16	On Occasion	16
(Capital Adequacy) -- Section .173, Table 3: Ongoing	Disclosure	1	2	Quarterly	8
(Capital Adequacy) -- Section .173, Table 3: One-time	Disclosure	1	16	On Occasion	16
(CR) --Section .173, Table 5: Ongoing	Disclosure	1	12	Quarterly	48
(CR) -- Section .173, Table 5: One-time	Disclosure	1	96	On Occasion	96
Section .304 - Opt-In Relief and Related FDIC Approval: Ongoing	Reporting	7	12	On Occasion	84
SUBTOTAL: One-time Recordkeeping and Disclosure					788
SUBTOTAL: Ongoing Recordkeeping, Disclosure, and Reporting					875
TOTAL RECORDKEEPING, DISCLOSURE, and REPORTING					1,663

Minimum Regulatory Capital Ratios: RECORDKEEPING	Type of Burden	Estimated Number of Respondents	Estimated Time per Response	Frequency of Response	Total Annual Estimated Burden
(CCR Operational Requirements) -- Sections _3(d) and _22(h)(2)(iii)(A): Ongoing	Recordkeeping	3,270	16	On Occasion	52,320
SUBTOTAL: One-time Recordkeeping					0
SUBTOTAL: Ongoing Recordkeeping					52,320
TOTAL RECORDKEEPING					52,320
Standardized Approach: RECORDKEEPING and DISCLOSURE	Type of Burden	Estimated Number of Respondents	Estimated Time per Response	Frequency of Response	Total Annual Estimated Burden
(QCCP) -- Section _35(b)(3)(i)(A): One- time	Recordkeeping	1	2	On Occasion	2
(QCCP) -- Section _35(b)(3)(i)(A): Ongoing	Recordkeeping	3,270	2	On Occasion	6,540
(CT) -- Section _37(c)(4)(i)(E): One-time	Recordkeeping	1	80	On Occasion	80
(CT) -- Section _37(c)(4)(i)(E): Ongoing	Recordkeeping	3,270	16	On Occasion	52,320
(SE) -- Section _41(b)(3) and _41(c)(2)(i): One-time	Recordkeeping	1	40	On Occasion	40
(SE) -- Section _41(c)(2)(ii): Ongoing	Recordkeeping	3,270	2	On Occasion	6,540
(S.E.) -- Section _42(e)(2), (C.R.) Sections _62(a),(b),& (c), (Q&Q) Sections _63(a) & (b): One-time	Disclosure	1	226.25	On Occasion	226
(S.E.) -- Section _42(e)(2), (C.R.) Sections _62(a),(b),& (c), (Q&Q) Sections _63(a) & (b) and _63 Tables: Ongoing	Disclosure	1	131.25	Quarterly	525
SUBTOTAL: One-time Recordkeeping and Disclosure					348
SUBTOTAL: Ongoing Recordkeeping and Disclosure					65,925
TOTAL RECORDKEEPING and DISCLOSURE					66,273
ESTIMATED COST TO RESPONDENTS ASSOCIATED WITH HOURLY BURDEN					
Total One-Time Burden Hours					1,136
Total Ongoing Burden Hours					119,120
TOTAL BURDEN HOURS					120,256

General Description of Collection:

This collection comprises the disclosure and recordkeeping requirements associated with minimum capital requirements and overall capital adequacy standards for insured state nonmember banks, state savings associations, and certain subsidiaries of

those entities. The data is used by the FDIC to evaluate capital before approving various applications by insured depository institutions, to evaluate capital as an essential component in determining safety and soundness, and to determine whether an institution is subject to prompt corrective action provisions. In addition, the Regulatory Capital Rule: Temporary Exclusion of U.S. Treasury Securities and Deposits at Federal Reserve Banks from the Supplementary Leverage Ratio for Depository Institutions, 85 FR 32980 (June 1, 2020) added a new opt-in provision in 12 CFR 324.304 for the temporary exclusion from the total leverage ratio. The new opt-in provision accounts for a slight increase of 84 burden hours.

After factoring in the slight increase in burden hours as a result of the new opt-in provision, along with the changes to the respondent count as a result of economic fluctuation, the information collection is reduced overall by 7,800 hours. Outside of the new opt-in provision, the hours per response and frequency of responses for the rest of the information collection have remained the same.

Request for Comment

Comments are invited on: (a) Whether the collection of information is necessary for the proper performance of the FDIC's functions, including whether the information has practical utility; (b) the accuracy of the estimates of the burden of the information collection, including the validity of the methodology and assumptions used; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology. All comments will become a matter of public record.

Federal Deposit Insurance Corporation.

Dated at Washington, DC, on October 27, 2020.

James P. Sheesley,

Assistant Executive Secretary.

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